PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR	Attorney Docket Num	ber F-280	
DESIGN	First Named Inventor Zubok;		
PATENT APPLICATION	COMPLETE IF KNOWN		
(37 CFR 1.63)	Application Number		
X Declaration Declaration	Filing Date	3/6/2003	
Submitted OR Submitted after Initial Filing (surcharge	Group Art Unit		
Filling (37 CFR 1.16 (e)) required)	Examiner Name		

As a below named inventor, I h	rereby declare that:		·					
My residence, mailing address, and citizenship are as stated below next to my name.								
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:								
Cervical Disc Replacement								
·		•						
					-			
				• •				
	(Title of	the Invention)						
the specification of which	•							
X is attached hereto								
OR								
was filed on (MM/DD/YYYY)	,	as United S	tates Application	Number or PCT In	tomational			
	<u> </u>		moo, pp	Humber of Form	ten aug iai			
					l			
Application Number	and was	amended on (MM/DD/YY	m		(if applicable).			
I hereby state that I have reviewed	d and understand the m	entents of the above iden	Effect openition it					
ancibed by any amendment spen	ulically referred to above	e.						
I acknowledge the duty to disclose in-part applications, material infom PCT international filing date of the	information which is m	aterial to patentability as	defined in 37 CF	R 1.56, including for	or continuation-			
PCT international filing date of the	continuation-in-part app	valiable between the hitti plication.	g date of the phor	application and th	e national or			
I hereby claim foreign priority beno or plant breeder's rights certificate than the United States of America	efits under 35 U.S.C. 1: e(s), or 365(a) of any F	19(a)-(d) or (f), or 365(b) ³ CT international applica	of any foreign ap	pplication(s) for par	tent, inventor's			
patent, inventor's or plant breeder	's rights certificate(s)							
application on which priority is clair Prior Foreign Application	med.							
Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy YES	y Attached? NO			
					\Box			
					\sqcap			
		·			$\overline{\Box}$			
Additional foreign application r	numbers are listed on a	supplemental priority dat	a sheet PTO/SR/	MOSB attached bere	<u> </u>			

[Page 1 of 2]

PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: Customer Nur or Bar Code L		OR X C	correspondence address below
Joseph P. Errico Name			
150 Douglas Road Address			
City Far Hills		State NJ	ZIP 07931
· · · · · · · · · · · · · · · · · · ·	Telephone	917-373-5918	908-273-6136 Fax
I hereby declare that all statements made herein of mare believed to be true; and further that these statem made are punishable by fine or imprisonment, or both validity of the application or any patent issued thereon.	y own knowledge are ents were made with , under 18 U.S.C. 10	e true and that all statements that willful the knowledge that willful that such willful false	made on information and belief false statements and the like so statements may jeopardize the
NAME OF SOLE OR FIRST INVENTOR:	A petition h	as been filed for this un	signed inventor
Given Name Rafail (first and middle [if any])	·	Family Name or Surname	Zubok;
Inventor's Signature Thullow	<u> </u>		Date 8/6/03
Residence: City Midland Park,	State NJ	Country US	Citizenship US
Mailing Address	22 Spruce Street		
City Midland Park,	State NJ	ZIP 07432	Country US
NAME OF SECOND INVENTOR:	A petition has	been filed for this unsig	gned inventor
Given Name (first and middle [if any]) Antonio	, I	Family Name or Surname	Valdevit;
Inventor's Signature			Date March 6/03
Residence: City Fishkill,	State NY	Country US	CAN Citizenship
Mailing Address 1	1502 Max Way		·
City Fishkill,	State NY	ZIP 12524	US Country
X Additional inventors are being named on the 1_st	upplemental Addition	nal Inventor(s) sheet(s) PTO/S	SB/02A attached hereto.

Please type a plus sigr	(+) inside this box	 +
F-280		

Ptease type a plus sign (+) inside this box + PTO/SB/02A (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 1

Name of Additional Joint Inventor, if	A petition has been filed for this unsigned inventor							
Given Name (first and middle [if any])				Family Name or Surname				
Michael W.				Dudasik;				
Inv ntor's Signature							Date 3/6/	63
Residence: City Nutley,	s	tate NJ		Country	US		Citizenship	US
Mailing Address			29	Daily Street	-			
Mailing Address								
City Nutley,	S	tate N		ZIP 07:	110 c	ountr	y US	
Name of Additional Joint Inventor, if	any:			A petition has	s been filed	for thi	s unsigned inventor	
Given Name (first and middle [if ar	ıy])			f	amily Nam	e or S	umame	
Joseph P.		٠.				Err	rico	
Inventor's Signature							Date 3/6/63	•
Residence: City Greenbrook,	S	tate NJ		Country	US		Citizenship	US
Mailing Address		29	De	er Path Circ	le			
Mailing Address								
City Greenbrook,	S	NJ tate		ZIP 08	812	Cour	US	
Name of Additional Joint Inventor, if a	any:			A petition has t	een filed fo	or this	unsigned inventor	
Given Name (first and middle [if any	<u>/1)</u>		Family Name or Surname					
	<u></u>					•		
Inventor's Signature Date					Date			
Residence: City State			Country Citizenship					
Mailing Address	 							·
Mailing Address								
City	Stat	e		ZIP	•	Cou	Intry	

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Docket No.: 532/2 (F-280)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Zubok et al.

Application No.: 10/382,702

Group Art Unit: 3738

Filed: March 6, 2003

Examiner: Not Assigned

For: CERVICAL DISC REPLACEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In the matter of the above-identified application, enclosed please find:

- 1. Substitution of Attorneys Pursuant to 37 C.F.R. § 1.36; and
- 2. Consent of Assignee 37 C.F.R. § 3.73(b).

Dated: February 6, 2004

Respectfully submitted,

Matthew B. Dernier

Registration No.: 40,989

KAPLAN & GILMAN, LLP

900 Route 9 North, Suite 104

Woodbridge, New Jersey 07095

(732) 634-7634

Attorney for Applicant

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.D. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: February 6, 2004

Signature

Print Name: Matthew B. Demier

Docket No.: 532/2 (F-280)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Zubok et al.

Application No.: 10/382,702

Group Art Unit: 3738

Filed: March 6, 2003

Examiner: Not Assigned

For: CERVICAL DISC REPLACEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUBSTITUTION OF ATTORNEYS PURSUANT TO 37 C.F.R. § 1.36

Dear Sir:

We hereby appoint the following practitioners as our attorneys to prosecute the application and to transact all business in the United States Patent and Trademark Office connected therewith: Joseph P. Errico (Reg. No. 38,131); Timothy J. Bortree (Reg. No. 43,506); Jeffrey I. Kaplan (Reg. No. 34,356); Michael R. Gilman (Reg. No. 34,826); Timothy X. Gibson (Reg. No. 40,618); and Matthew B. Dernier (Reg. No. 40,989).

All future correspondence should be forwarded to:

KAPLAN & GILMAN, L.L.P., 900 Route 9 North, Woodbridge, New Jersey 07095 telephone (732) 634-7634)

We are the assignee of record of the entire interest and therefore have the right to take action in the above-identified application. A Statement under 37 C.F.R. § 3.73(b) is enclosed.

Date

Joseph P. Errico

CEO, SpineCore, Inc.

Docket No.: 532/2 (F-280)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Zubok et al.

Application No.: 10/382,702

Group Art Unit: 3738

Filed: March 6, 2003

Examiner: Not Assigned

For: CERVICAL DISC REPLACEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CONSENT OF ASSIGNEE 37 C.F.R. § 3.73(b)

Dear Sir:

Pursuant to 37 C.F.R. § 3.73, I, Joseph P. Errico, being an officer of SpineCore, Inc., the Assignee of the above-identified patent application by way of assignment (reel/frame 013849/0592), hereby consent to a substitution of all previous powers of attorney and to the appointment of new attorneys in the above-identified patent application.

Date

Joseph P. Errico

CEO, SpineCore, Inc.

Jocket Number (Optional) ASSIGNMENT OF APPLICATION F-280 Rafail Zubok; of Whereas, I/We, Midland Park, NJ ____,hereafter referred to as applicant, have invented certain new and useful improvements in _____ Cervical Disc Replacement for which an application for a United States Patent was filed on _____ Application Number___/__/ for which an application for a United States Patent was executed on ______3/6/2003 SpineCore, Inc. Summit, NJ to "assignee" whose mailing address is 447 Springfield Avenue, herein referred Summit, NJ 07931 is desirous of acquiring the entire right, title and interest in the same; Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign, and transfer unto said assignee the full and exclusive right to the said invention and the entire right, title and interest in and to any and all Patents which may be granted therefor. I/We hereby authorize and request that said Patent be issued to said assignee, of the entire, right, title and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made. Executed this_ ____day of___ Midland Park, NJ State of _____ (\$ignature) County of ____ Before me personally appeared said _ and acknowledged the foregoing instrument to be his free act and deed this Seal (Notary Public) Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, See below*.

* 🖾 Total of

__forms are submitted.

	SSIGNMENT C	OF APPLICATION	ON	Docket Number F-28	
Whereas, I/We, _	Anton	io Valdevit;	of	Fishkill, NY	,hereafter
referred to as app	olicant, have invent	ed certain new an Cervical Disc R	d useful improveme Replacement	ents in	
for which an a	application for a Urumber/	nited States Paten	t was filed on		
for which an a	application for a Un	ited States Patent	was executed on _	3/6/2003	and
Whereas, to "assignee" whos	SpineCore, Inc. e mailing address	of_ is447 Spring	Sum field Avenue,	nit, NJ he Summit, NJ 079	erein referred
desirous of acquiri	ng the entire right,	title and interest in	the same;		<u>-01</u> 10
that said Patent be	issued to said ass	willian be gial	nted therefor. I/We	esents do sell, assign and the entire right hereby authorize ar erest in and to the se	nd request
assignment and sa	may be granted, as le not been made.	s fully and entirely	as the same would	es, to the full end of the have been held by r	the term for ne had this
	may be granted, as le not been made. 6th	s fully and entirely		es, to the full end of the have been held by r	
assignment and sa	may be granted, as le not been made. 6th Fishkill, NY	day of	as the same would March	es, to the full end of the have been held by r	the term for ne had this
assignment and sa Executed this at State of County of Before me personal	nay be granted, as le not been made. 6th Fishkill, NY SS:	day of	March	es, to the full end of thave been held by respectively.	the term for ne had this
assignment and sa Executed this at State of County of Before me personal and acknowledged to	anay be granted, as le not been made. 6th Fishkill, NY SS: hy appeared said the foregoing instru	day of	March	es, to the full end of thave been held by respectively.	the term for ne had this
assignment and sa Executed this at State of County of Before me personal and acknowledged to	anay be granted, as le not been made. 6th Fishkill, NY SS: hy appeared said the foregoing instru	day of	March	es, to the full end of thave been held by respectively.	the term for ne had this
assignment and sa Executed this at State of County of	anay be granted, as le not been made. 6th Fishkill, NY SS: hy appeared said the foregoing instru	day of	March	es, to the full end of thave been held by recognitions, 20	the term for the had this
assignment and sa Executed this at State of County of Before me personal and acknowledged to day of Seal	may be granted, as le not been made. 6th Fishkill, NY SS: ly appeared said the foregoing instruction, 20 Inventors or assignees	day of	March e act and deed this	es, to the full end of thave been held by respectively.	the term for the had this

fe

	SSIGNMENT	OF APPLICATIO	ON	Docket Number (C F-280	•
Whereas, I/We, _	Michae	I W. Dudasik;	of	Nutley, NJ	,hereafter
referred to as app	olicant, have inver	nted certain new and	d useful improveme	nts in	
		Cervical Disc Re	eplacement		
for which an a	application for a U	Inited States Patent	was filed on		
Application N	umber/_				
X for which an a	application for a U	nited States Patent	was executed on _	3/6/2003	, and
Whereas,	SpineCore, Inc.	of	Sumn	nit NII .	
to "assignee" whos	e mailing address	is 447 Springf	ield Avenue,	nit, NJ hero Summit, NJ 0793	ein referred 1 ic
desirous of acquiri	ng the entire right	, title and interest in	the same:	7 7 0770	- _12
	•	•	•		
sole use and behod	of and for the use	and behave of his !	, right, the and inte	and the entire right, t hereby authorize and rest in and to the san s, to the full end of th	ne, for his
sole use and behod which said Patent n assignment and sai	of, and for the use may be granted, a le not been made	e and behoof of his less fully and entirely a	e, right, title and inte egal representative as the same would i	rest in and to the san s, to the full end of th nave been held by mo	request ne, for his e term for e had this
sole use and behod	of, and for the use may be granted, a le not been made 6th	and behoof of his last fully and entirely a	e, right, title and inte egal representative as the same would i	rest in and to the san s, to the full end of th nave been held by mo	request ne, for his
sole use and behod which said Patent nassignment and sale Executed thisat	of, and for the use may be granted, a le not been made 6th Nutley, NJ	e and behoof of his less fully and entirely a	egal representative as the same would i	rest in and to the sans, to the full end of the nave been held by more by the been held by more by the been held by m	request ne, for his e term for e had this
sole use and behod which said Patent in assignment and sale Executed thisat	of, and for the use may be granted, a le not been made 6th Nutley, NJ	and behoof of his less fully and entirely a	egal representative as the same would i	rest in and to the sans, to the full end of the nave been held by many to the nave been held by many to the nave been held by th	request ne, for his e term for e had this
sole use and behod which said Patent n assignment and sal Executed this at State of County of	of, and for the use may be granted, a le not been made 6th Nutley, NJ	and behoof of his less fully and entirely and entire	egal representative as the same would i	rest in and to the sans, to the full end of the nave been held by more by the been held by more by the been held by m	request ne, for his e term for e had this
sole use and behod which said Patent in assignment and sale Executed this at	of, and for the use may be granted, a le not been made 6th Nutley, NJ SS by appeared said	and behoof of his less fully and entirely a	March	rest in and to the sans, to the full end of the nave been held by many to the full end of the nave been held by many (Signature)	request ne, for his e term for e had this
sole use and behod which said Patent in assignment and said Executed this at	of, and for the use may be granted, a le not been made 6th Nutley, NJ ss ly appeared said the foregoing instr	and behoof of his less fully and entirely an	March	rest in and to the sans, to the full end of the nave been held by many to the full end of the nave been held by many (Signature)	request ne, for his e term for e had this
sole use and behod which said Patent in assignment and said Executed this	of, and for the use may be granted, a le not been made 6th Nutley, NJ ss ly appeared said the foregoing instr	and behoof of his less fully and entirely an	March	rest in and to the sans, to the full end of the have been held by more sans and to the sans an	request ne, for his e term for e had this
sole use and behod which said Patent in assignment and said Executed this	of, and for the use may be granted, a le not been made 6th Nutley, NJ by appeared said the foregoing instr	and behoof of his his fully and entirely and day of	March e act and deed this	rest in and to the sans, to the full end of the nave been held by many to the full end of the nave been held by many (Signature)	request ne, for his e term for e had this

. AS	SIGNMENT O	F APPLICA	TION	Docket Number	(Optional)
				F-2	80
Whereas, I/We,	Joseph P. E	rrico	ofG	reenbrook, NJ	,hereafter
referred to as appli	icant, have invente	ed certain new	and useful improveme	nts in	
		Cervical Di	sc Replacement		
for which an a	oplication for a Un	ited States Pat	tent was filed on		
Application Nu	mber/	-			
			ent was executed on _		
Whereas,	SpineCore, Inc.	of	Sumn ingfield Avenue,	uit, NJ	erein referred
to assignee whose	mailing address i	s <u>447 Spri</u>	ngfield Avenue,	Summit, NJ 07	931 is
desirous of acquiring	g the entire right,	itte and interes	st in the same;		·
transfer unto said as interest in and to any that said Patent be is sole use and behoof	ssignee the full and and all Patents was and all Patents was and for the use a say be granted, as anot been made.	d exclusive rig vhich may be g gnee, of the er and behoof of t fully and entire	ollar (\$1.00), the receip plicant(s), by these pre- ht to the said invention granted therefor. I/We natire, right, title and intended in the legal representative lely as the same would I	sents do sell, assig and the entire righ hereby authorize a rest in and to the s	n, and I, title and nd request ame, for his the term for me had this
atG	reenbrook, NI	day or	March	, 20	
State of				(Signature)	
Before me personally					
		mont to be bi-	F	···	
			free act and deed this		
day of	, 20				
Seal				(Notary Pul	olic)
ote: Signatures of all the in	ventors or assignees of	of record of the en	tire interest or their represen	tative(s) are required. S	Submit multiple
☑ Total of 4	forms are sub				·

N fc